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## DEEP REINFORCEMENT LEARNING FOR PREDICTIVE CONTROL

### PROBLEM OVERVIEW

#### PROBLEM CONTEXT



**OPTICAL FIBERS**  
The Backbone of Modern Global Connectivity

- Thin, flexible strands of glass
- Transmit data through light pulses
- Based on Total Internal Reflection

Optical Fiber Manufacturing Process

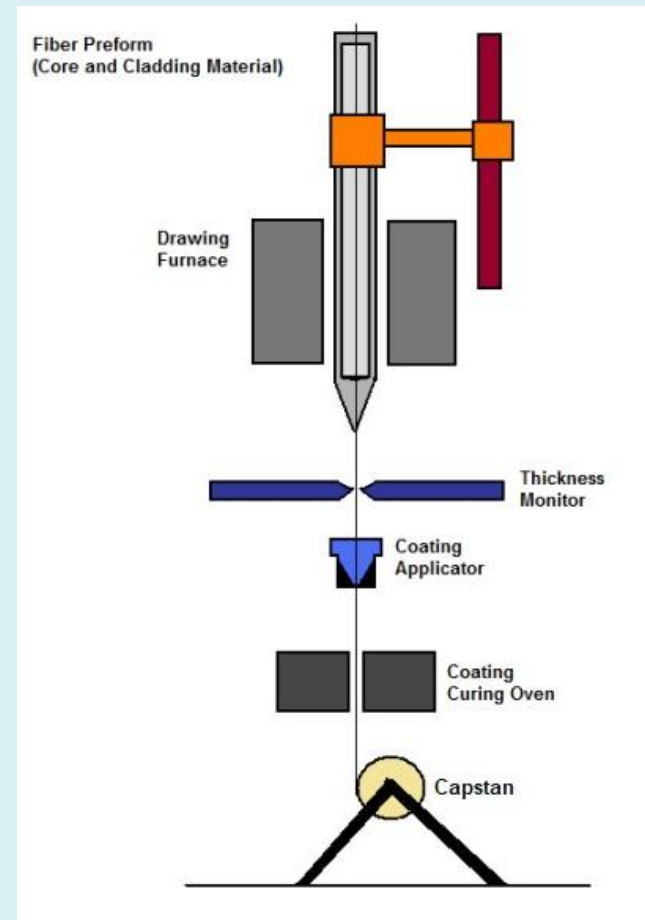
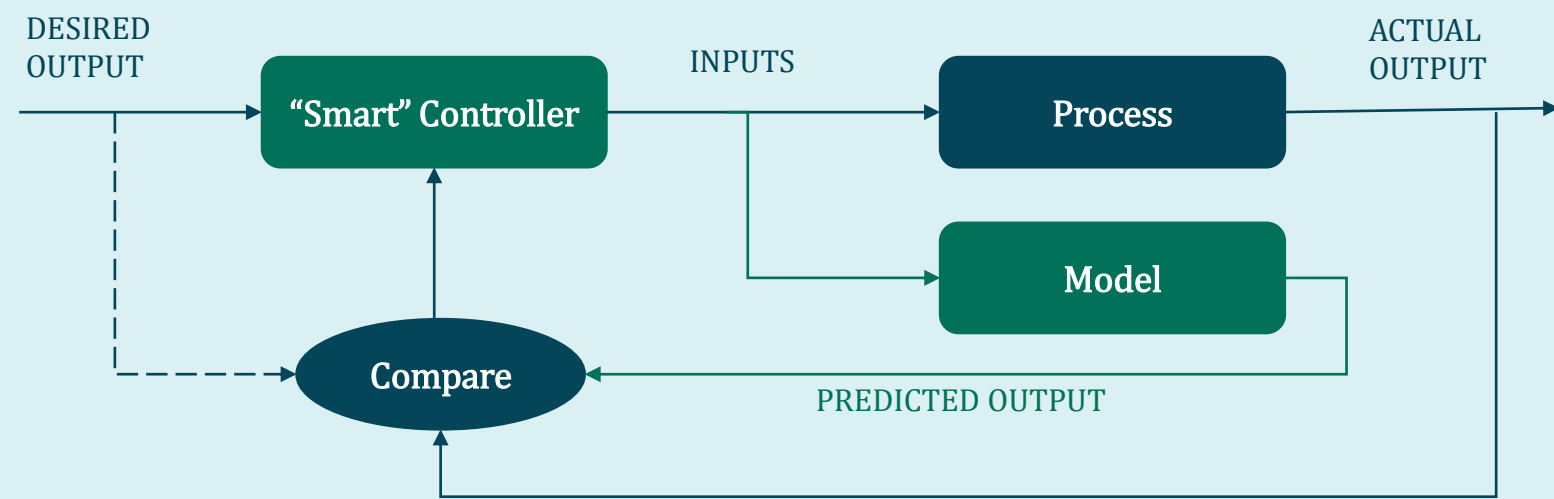
**Fiber Extrusion Setup**

Pre-processed glass rod (preform) » Furnace » Hair-thin fibers

**Industrial Controller**

Automates manufacturing process by regulating physical inputs (power/ temperature/ speed) to achieve desired output properties

The controller at Sterlite compares the true output diameter with the desired diameter and then controls the inputs accordingly



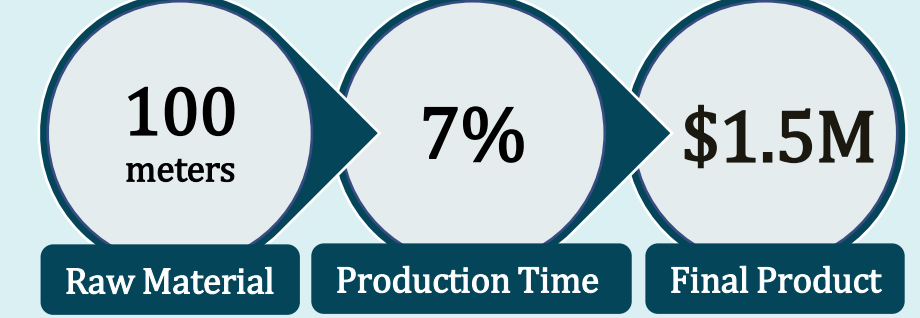
**Problem at Sterlite: Inefficient Control**  
Current solution is unable to maintain the fiber diameter within the specified range ( $125 \pm 0.1$  microns)

**Required Solution:** Smart Controller capable of predicting outputs and taking pre-emptive actions

#### BUSINESS IMPACT



Monthly Waste



Target: Maintain Fiber Diameter within  $125 \pm 0.1$  microns

#### PROBLEM BREAKDOWN

- Problem:** Raw Material Wastage  
**Solution:** Develop a Predictive Model of the process for understanding input-output relationship
- Problem:** Sub-optimal Hyperparameter Settings  
**Solution:** Optimize controller settings based on model predictions in the simulator
- Problem:** Inefficient Controller Architecture  
**Solution:** Build an alternate Pre-emptive Controller that incorporates the predictive component and takes anticipatory actions

### DATA

#### DATA DESCRIPTION

Data sampled every ~100ms → High Frequency Time-Series

INPUTS (FEATURES)

- Preform Speed
- Furnace Power
- Helium Tube Temperature
- Capstan Slope
- Capstan Speed
- Radial Position
- Preform Diameter

500K Daily Datapoints

8 Features of Interest

2 Targets

OUTPUTS (TARGET)

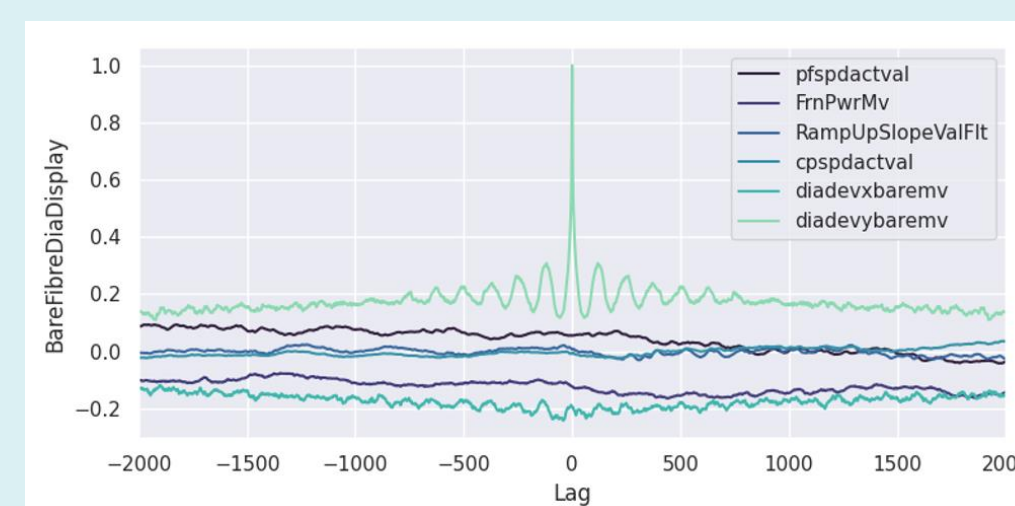
- Fiber Diameter
- Fiber Tension

#### PREPROCESSING

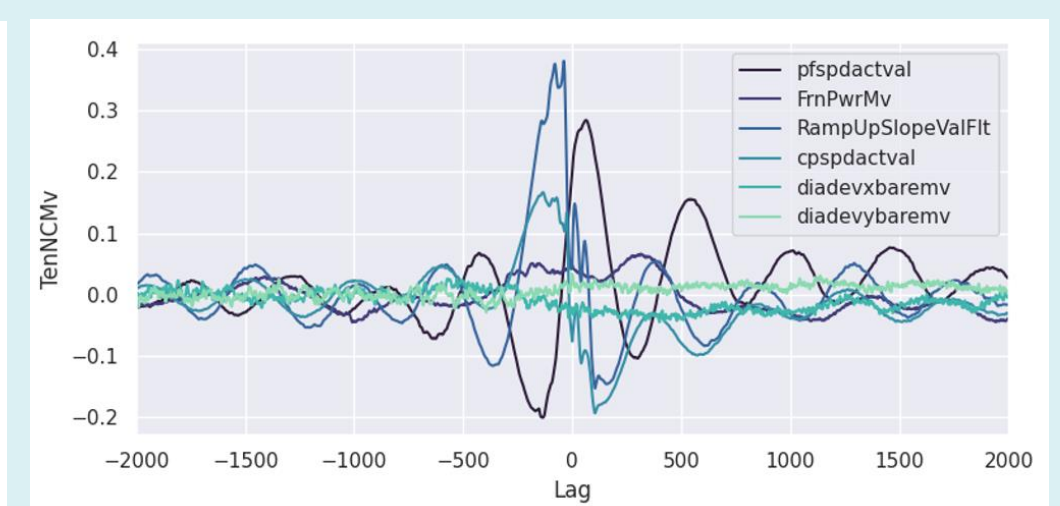
- Batching
- Interpolation
- Filtering
- Sanitization
- Smoothing

#### ANALYSIS

Cross-Correlations of Inputs with Diameter



Cross-Correlations of Inputs with Tension

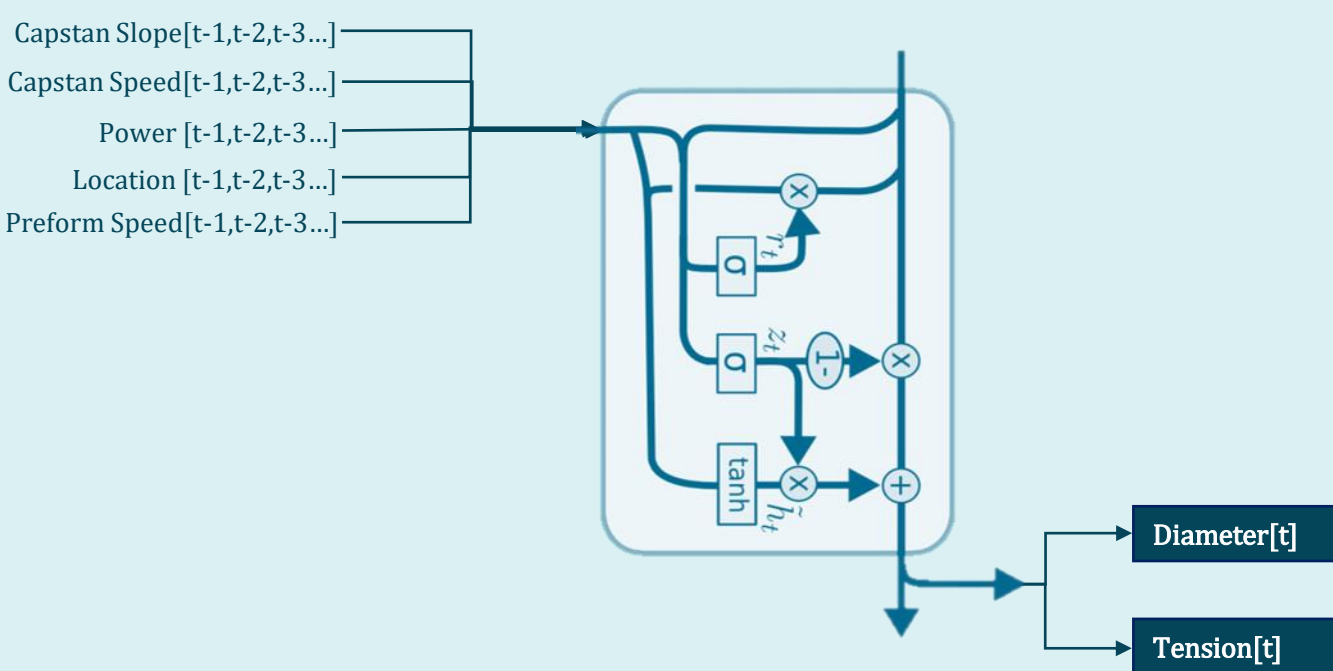


Relationship exists until a look-back of 50 time-steps

### METHODOLOGY & RESULTS

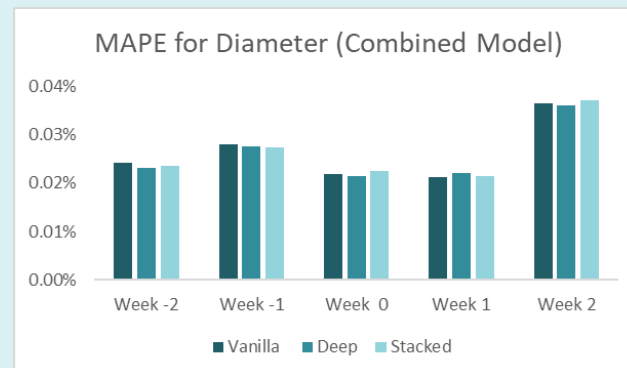
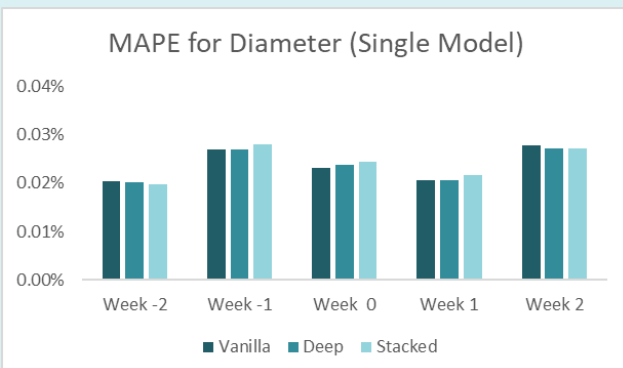
#### PREDICTIVE MODELING – SEQUENTIAL LSTM

**Problem:** Traditional models (ARIMA, Exponential Smoothing, Prophet) only capture linear and stationary relationships  
**Solution:** Use Deep Learning-based sequential models (LSTM)

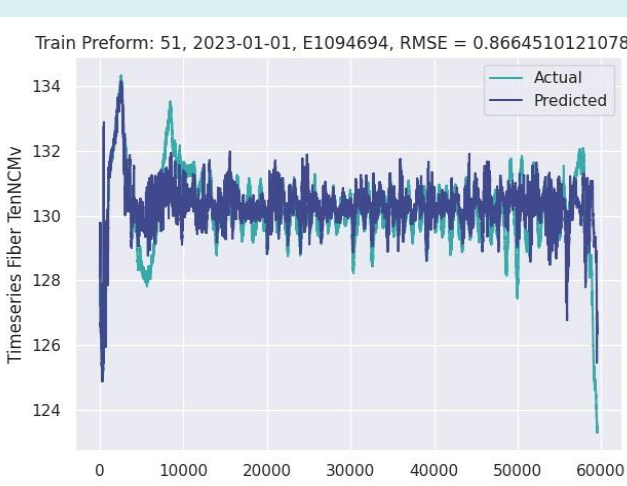
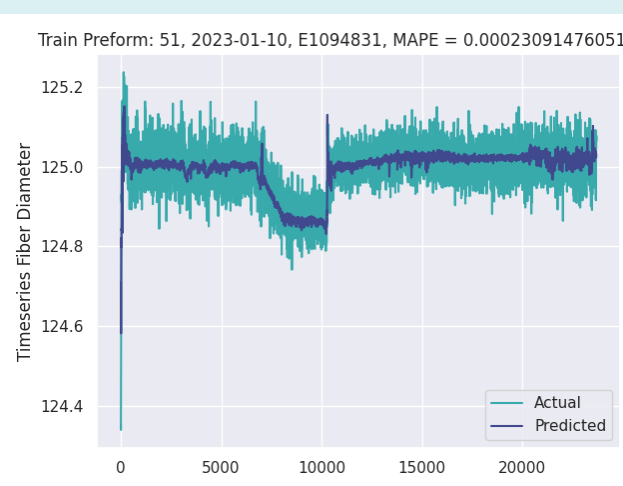


LSTM Experiments:

- Architecture Choice: Vanilla Model is fast and has fewer parameters without compromising on performance
- Modeling Choice: Multi-Output Model captures interdependencies between diameter and tension outputs



The Multi-Output Vanilla LSTM model accurately captures diameter trends, achieving a mean absolute percentage error of 0.01% for diameter and 1% for tension trends



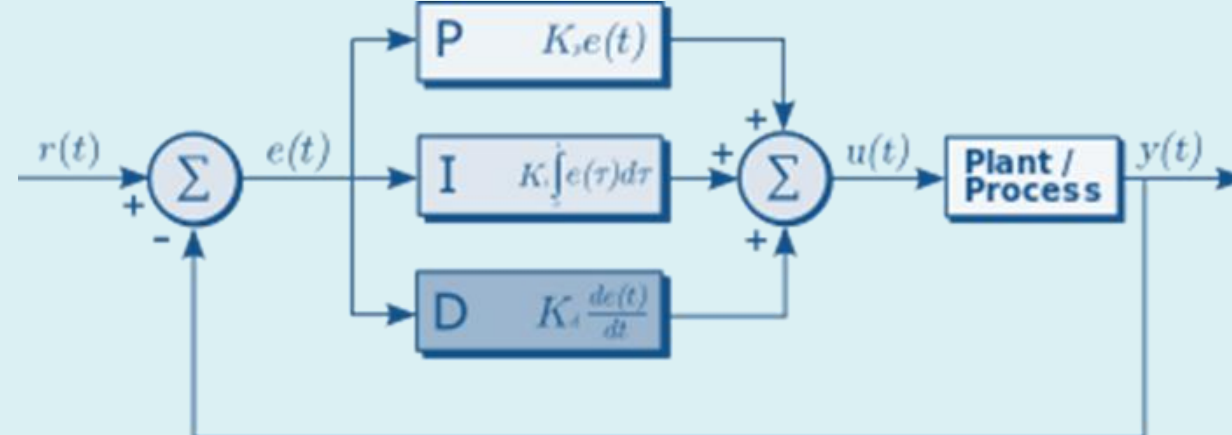
#### PARAMETER OPTIMIZATION – ZIEGLER NICHOLS HEURISTIC

**PID Controller**

Feedback control algorithm to regulate systems to reach desired setpoints

3 Hyperparameters:

- Proportional ( $K_p$ ): Acts against current error
- Integral ( $K_i$ ): Acts against accumulated past errors
- Derivative ( $K_d$ ): Acts against rate of change in error trends



$$\text{Controller Equation: } u(t) = K_p e(t) + K_i \int e(t) dt + K_d \frac{de}{dt}$$

Existing Controller Network at Sterlite Controls 3 Variables

- Preform Velocity Controller: Feedback from Diameter (125 microns)
- Capstan Velocity Controller: Feedback from Diameter (125 microns)
- Furnace Power: Feedback from Tension (130 units)

**Problem:** Huge Search Space

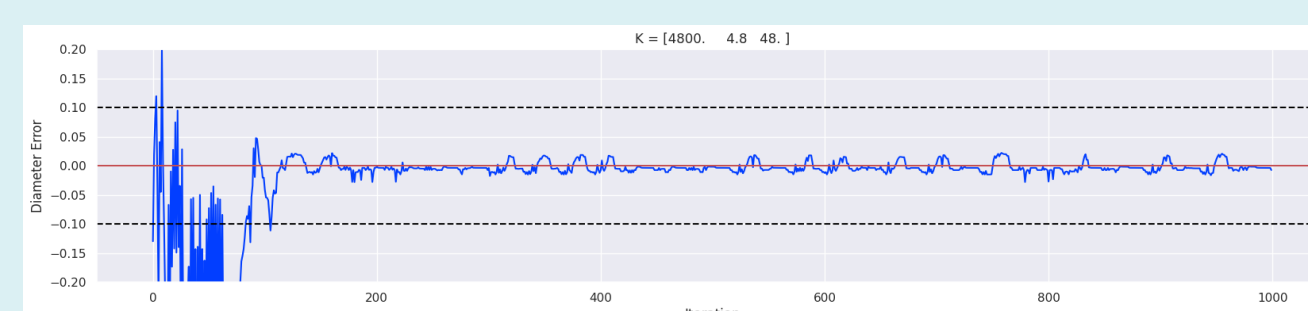
3 PID Controllers x 3 continuous parameters

**Solution:** Ziegler-Nichols Heuristic

Chooses ideal PID parameters, without navigating entire search space

**Environment:** LSTM model used to simulate the manufacturing process

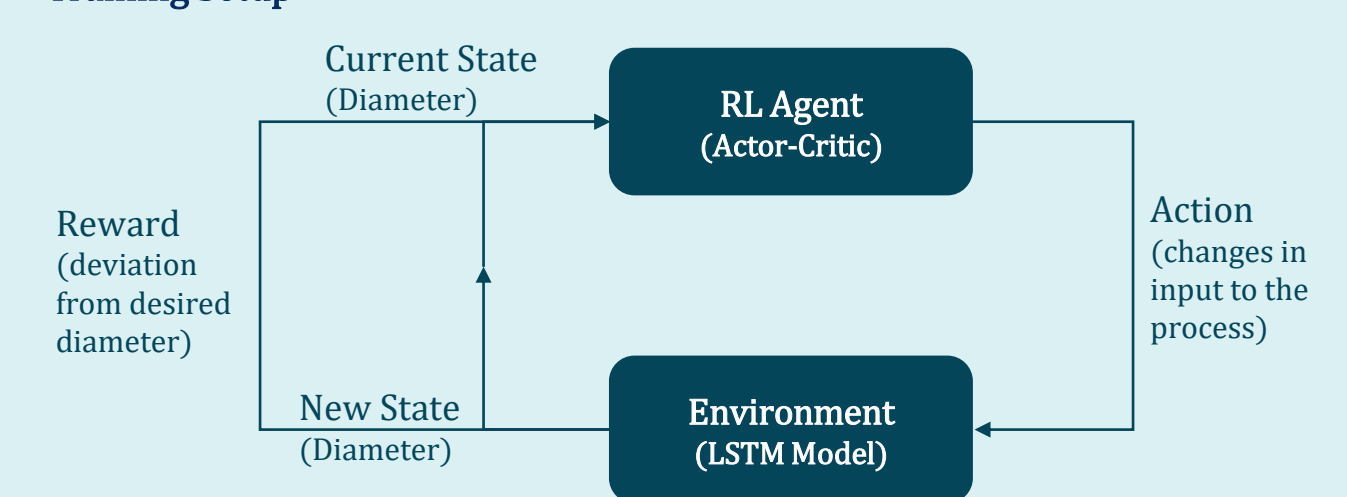
Controller	$K_{p_{cr}} (P_{cr} = 5)$	$K_p = 0.6 * K_{p_{cr}}$	$K_i = \frac{2 * K_p}{P_{cr}}$	$K_d = 0.125 * K_p * P_{cr}$
Capstan Velocity	8000	4800	1920	3000
Preform Velocity	8	4.8	1.92	3
Furnace Power	80	48	19.2	30



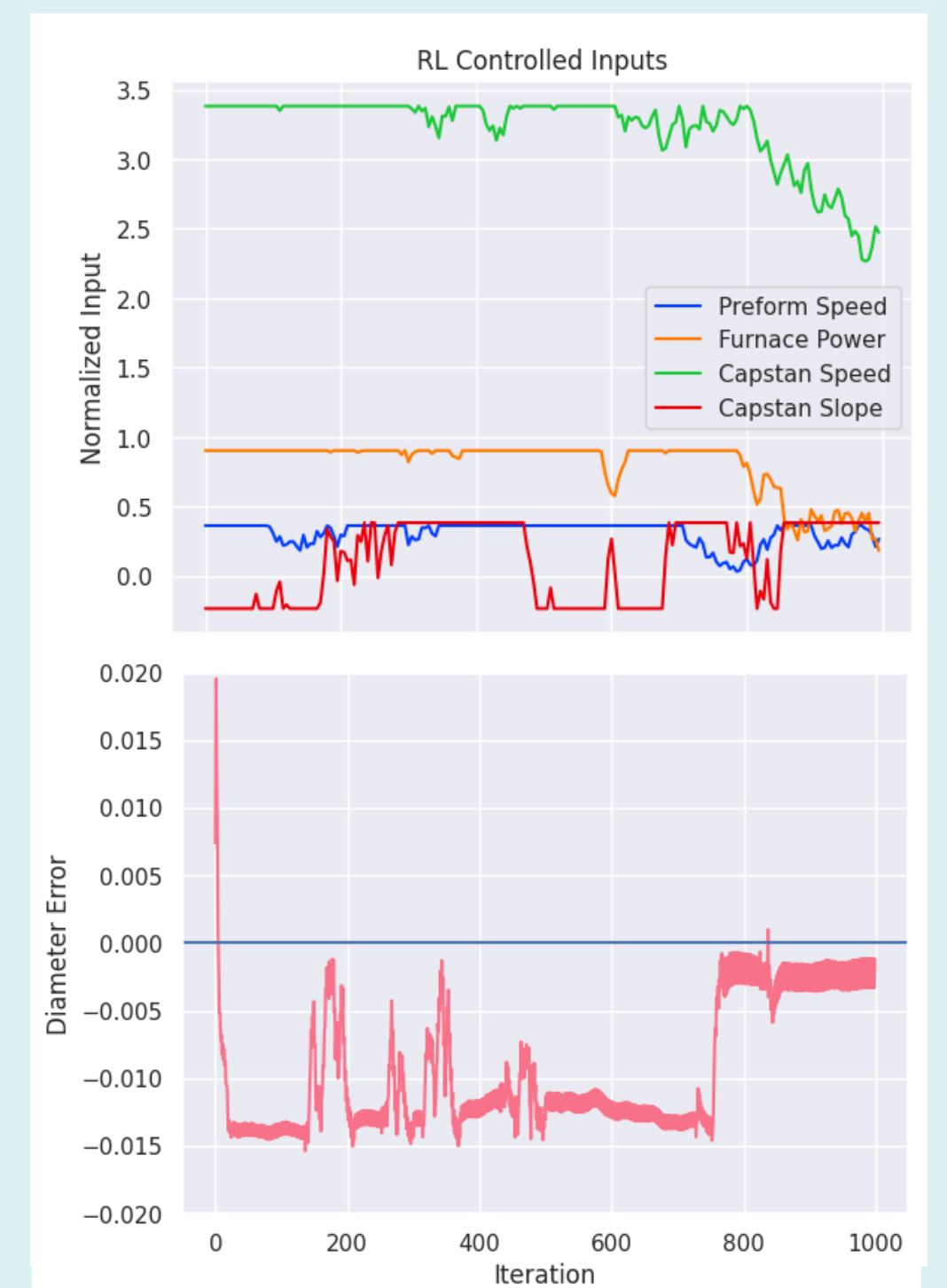
Although simulation outputs are within bounds, the controlled inputs are frequently out of range and violate physics-based constraints and as a result, the parameters are unusable

#### ALTERNATE CONTROL SYSTEM – REINFORCEMENT LEARNING

Training Setup

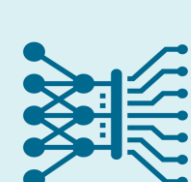


- Problem:** Traditional PID Controller suffers from Linearity Assumptions
- Solution:** Reinforcement Learning Controller:
  - Reward settles to a value close to zero as the model converges
  - All inputs stay within bounds and follow physics-based constraints
  - Control (Diameter) Error stays within 0.01 microns (10x better)



### IMPACT AND FUTURE WORK

#### CONCLUSION



Built a cutting-edge solution to improve Optical Fiber Manufacturing Process utilizing a combination of Long Short-Term Memory (LSTM) Modeling and Reinforcement Learning (RL)

Maintained fiber diameter within an incredibly tight range of  $125 \pm 0.1$  microns on test simulations, providing potential savings of \$1.5 million/month



#### FUTURE WORK



- Make the LSTM model more robust by training and re-training across multiple weeks
- Improve identified PID parameters and explore alternate heuristics for optimization
- Thoroughly test the RL-based control system on the physical manufacturing units